

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant:

EWALD

Group Art Unit:

3625

Serial No.

10/672,133

Examiner:

Smith, J.

Filed:

09/26/2003

Confirmation No.

6111

For:

SYSTEM AND METHOD FOR

PURCHASING LINKED WITH

BROADCAST MEDIA

Attorney Docket No. 49663.21740

APPLICANT'S REPLY BRIEF PURSUANT TO 37 C.F.R. §41.41

Mail Stop Appeal Brief-Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant submits this Reply Brief in response to the Answer of Examiner, and specifically to the arguments regarding enablement of the cited *Kesling* reference (US 2002/0132575 A1). Specifically, the Answer states (1) that *Kesling* is entitled to a presumption of enablement; and (2) Applicant has not pointed to any facts to show *Kesling* is not so enabled. Applicant traverses these assertions as incorrect.

1. Kesling is Not Entitled to a Presumption of Enablement as It Does Not Expressly Anticipate or Make Obvious the Element of a "Purchase Request"

The Answer correctly cites MPEP §2121: "When the reference relied on expressly anticipates or makes obvious all of the elements of the claimed invention, the reference is presumed to be operable. Once such a reference is found, the burden is on applicant to provide facts rebutting the presumption of operability. *In re Sasse*, 629 F.2d 675, 207 USPQ 107 (CCPA 1980)." (emphasis added) However, even by the Answer's own description, *Kesling* does not expressly teach the element of the "purchase request," and therefore is not "presumed to be operable" for such function.

The term "purchase request" is nowhere stated or defined in *Kesling*, and as discussed in Applicant's Appeal Brief, *Kesling* does not otherwise expressly disclose

this element. The Examiner relies upon a single passage to suggest there is a "purchase request" (Answer, p. 8) and then argues the semantics of the terms based upon "grammatical proximity." (Answer, p. 10) By its own argument, the Answer shows that concept of the "transaction" of *Kesling* is not expressly equal to Applicant's claimed "purchase request" and such interpretation is latent with ambiguity. See, e.g., Answer at p. 10: "It is admitted that grammatical proximity can bear heavily upon an interpretation by a reader (in this case, the skilled artisan) of an author's sentiment. However, proximity should weigh only as one factor of many factors in making such determination." Without the element of the "purchase request" being expressly shown, *Kesling* is not entitled to a presumption of operability and enablement relative to that element.

2. Applicant has Pointed to the Fact that One Speculative Sentence in *Kesling* Is Insufficient to Enable a Full Interactive Purchase Request

The Answer summarily states that Applicant has pointed to no facts that would rebut the improper presumption of operability. (Answer, p. 12) Applicant has pointed to the only fact in evidence regarding enablement--one speculative sentence. (Appeal Brief, pp. 6-7) Applicant has also discussed the lack of any description of technology in *Kesling* that would otherwise be enabling of a full "purchase request" which the Answer does not address. Applicant has clearly shown through the relevant facts in evidence—namely the disclosure of *Kesling*—that should the "transaction" of *Kesling* be contorted to encompass a full "purchase request," then there simply is no technical disclosure given in *Kesling* to support such a function.

Conclusion

The arguments in the Answer show the intent of MPEP §2121, that only "expressly" anticipated elements are entitled to a presumption of enablement.

Otherwise, what occurs is what the Examiner has done here--broaden an element in a cited reference to encompass an unsupported function and then simply rely upon a presumption of enablement when the operability of such broadened function is questioned. Applicant has shown that the "transaction" does not encompass a "purchase request," there is no presumption of operability for this non-express

interpretation, and ultimately, there is insufficient disclosure to enable this function in *Kesling*. The Examiner should therefore be reversed and the application allowed.

Respectfully submitted,

Stephen A. Ewald By his Representatives,

Date <u>5 Jan 2a</u>

Lance D. Reich Reg. No. 42,097

CARLTON FIELDS, P.A.

1201 West Peachtree Street, Suite 3000

Atlanta, Georgia 30309 Telephone: (404) 814-3400 Facsimile: (404) 814-3415

Customer Number 49358

CERTIFICATE UNDER 37 CFR 1.8

The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Appeal Brief-Patents, Commissioner of Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this

Lucille Golden-Blakey

PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/672.133 TRANSMITTAL Filing Date 09/26/06 First Named Inventor **FORM** Ewald Art Unit 3625 **Examiner Name** J. Smith (to be used for all correspondence after initial filing) Attorney Docket Number 49663.21740 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC **√** Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify **Terminal Disclaimer** Extension of Time Request below): Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Carlton Fields, P. A. Signature Printed name Lance D. Reich Date Reg. No. 1/05/06 42,097 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Lucille Golden-Blakey

Date 1/05/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Signatur

Lucille Golden-Blakey

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.